

ATTORNEY DOCKET NO.:

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re patent application of:

HAAS, Hansjoerg

Serial No.:

10/735,866

Group Art Unit:

3637

Filed:

December 16, 2003

Examiner:

NOT YET SPECIFIED

Title:

ROBOTIC CAROUSEL WORKSTATION

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith excluding U.S. patent references.

U.S. patent references are not enclosed pursuant to the Office's waiver (published in the Official Gazette - August 5, 2003) of such requirement for all U.S. national patent applications and for all international applications that have entered the national stage under 35 USC 371 filed after June 30, 2003.

The filing of this IDS shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material for patentability, or that no other material information exists. This filing shall not be construed as an admission against interest in any matter.

This IDS is submitted pursuant to 37 CFR §1.97(b) and, accordingly, no fee is believed to be due for consideration of the documents submitted herewith.

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

Date:

Respectfully submitted,

John R.S. Orange Registration No. 29,725 Agent for Applicant

McCarthy Tétrault Suite 4700, P.O. Box 48 Toronto Dominion Bank Tower Toronto, Ontario M5K 1E6, Canada

Tel: 416 362-1812 Fax: 416 601-8454

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known				
Application Number	10/735866			
Filing Date	12/16/2003	_		
First Named Inventor	HAAS, Hansjoerg			
Art Unit	3637			
Examiner Name	NOT YET SPECIFIED			
Attorney Docket Number	T00158-0019-US			

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.1	Document Number Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,449,091	09-12-1995	Storage Technology Corporation	
		US- 5,546,315	08-13-1996	Storage Technology Corporation	-
		US- 5,733,024	03-31-1998	Silicon Valley Group, Inc.	
		US- 5,735,587	04-07-1998	Liconic AG	
		US- 6,129,428	10-10-2000	Kendro Laboratory Products GmbH	
		US- 6,478,524 B1	11-12-2002	Liconic AG	
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	FOREIGN PATENT DOCUMENTS							
Examiner Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Initials*	No.1	Office ³	³ Number⁴	Kind⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	
		wo	99/01894		01-14-1999	ZINGER, Jan et al.		
								
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Examiner	Date	
Signature	Consid	dered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

TRANSMITTAL O		Oocket No. 158-0019-US				
In Re Application Of: APR 2 2 2004						
Serial No. Filing Date Examiner Group A 10/735,866 December 16, 2003 Not yet specified 363						
10/735,866	December 16, 2003	Not yet specified		3637		
Title: ROBOTIC CARC	OUSEL WORKSTATION					
	Address Commissioner		, 			
	P.O. Box Alexandria, VA					
	37 CFR 1	.97(b)				
of a national ap three months o application; befo						
	37 CFR 1	l.97(c)				
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:						
☐ the state	ment specified in 37 CFR 1.97(e);					
	OR					
☐ the fee set forth in 37 CFR 1.17(p).						

TRANSMITTAL OI	Docket No. T00158-0019-US					
In Re Application: HAAS, Hansjoerg	APR	2 2 2004				
Serial No.	Filing Date	Examiner Not yet an assisted	Group Art Unit			
10/735,866	December 16, 2003	Not yet specified	3637			
ROBOTIC CAROUSEL WORKSTATION						
	-	ment of Fee ects to pay the fee set forth in 37 0	CFR 1.17(p))			
☐ A check in the amount of is attached. ☐ The Director is hereby authorized to charge and credit Deposit Account No. ☐ as described below. ☐ Charge the amount of ☐ Credit any overpayment. ☐ Charge any additional fee required. ☐ Certificate of Transmission by Facsimile* ☐ Certificate of Mailing by First Class Mail ☐ I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: ☐ (Date) ☐ (Date) ☐ I certify that this document and fee is being deposited on with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.						
Signature Signature of Person Mailing Correspondence						
Typed or Printed I	Name of Person Signing Certificate	Typed or Printed Name of Person Mailing Certificate				
*This certificate may only be used if paying by deposit account. John R.S. Orange (29,725) McCarthy Tetrault LLP Suite 4700, P.O. Box 48 66 Wellington St. W. Toronto Dominion Bank Tower Toronto, ON M5K 1E6 Canada Tel: 416 362-1812 Fax: 416 601-8454						
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